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PTO/SB/21 (09-04)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

4

Application Number 09/378,577

Filing Date August 20, 1999

First Named Inventor Wenyuan Shi

Art Unit 1645

Examiner Name Robert Zeman

Attorney Docket Number 2101363-991000

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input checked="" type="checkbox"/> Status Letter |
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| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|---|----------|--------|
| Firm Name | Gray Cary Ware & Freidenrich LLP, 153 Townsend Street, Suite 800, San Francisco, CA 94107 | | |
| Signature | | | |
| Printed name | David L. Alberti | | |
| Date | September 28, 2004 | Reg. No. | 43,465 |

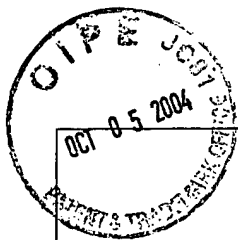
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATUS
INQUIRY**

| | |
|-----------------------------|--|
| Applicant: | Wenyuan Shi, et al. |
| Serial No.: | 09/378,577 |
| Filed: | August 20, 1999 |
| Title: | Method for the Treatment and Prevention of Dental Caries |
| Group Art Unit: | 1645 |
| Examiner: | Robert Zeman |
| Attorney Docket No.: | 2101363-991000 |

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Sir:

1. More than 11 months have passed since

☐ NEW APPLICATIONS
the filing of this application on _____ No Office Action has been received from the Patent and Trademark Office indicating action on this application.

☐ AMENDED APPLICATIONS
the filing of a response on _____

☒ **APPEALED APPLICATION**

The [Revised] Appeal Brief was filed on October 21, 2003 .
(check and complete applicable terms below)

☐ An Examiner's Answer was mailed on _____.

☐ A reply to Examiner's Answer was submitted on _____.

☐ **ALLOWED APPLICATIONS**

the mailing of Form PTOL-327 and/or Examiner's Amendment on _____.

2. Kindly advise the undersigned of the present status of this application by checking the appropriate box on the next page. A stamped return-addressed envelope is provided.

NOTE: Status inquiries should not be submitted for:
NEW applications until a reasonable period after the Official Gazette indicates that the filing date of the "oldest new case" awaiting action in the group to which the application is assigned, is subsequent to the filing date of the application, or

AMENDED applications within six (6) months after the filing of a response to which no reply from the PTO has been received, or ALLOWED applications where a notice of allowance is not yet received within three (3) months from the receipt of either a Form PTOL-327 or an Examiner's Amendment.

See Notice of November 24, 1971 (893 O.G. 810).

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: 9/28/2004

By: 

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